

REV. 04/01  
For A Small Entity

Docket No. AMS-011C

Applicants : Dorros et al.  
For : APPARATUS AND METHODS FOR TREATING STROKE  
AND CONTROLLING CEREBRAL FLOW  
CHARACTERISTICS

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EL188609145US.  
Date of Deposit October 4, 2001.

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Hon. Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_  
Lily Jiang

Hon. Commissioner  
for Patents  
BOX PATENT APPLICATION  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR UNEXECUTED  
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the  
[X] specification; [X] claims; [X] abstract; [X] unexecuted  
declaration; [X] printEFS data sheet, for the above-identified  
patent application.

Also transmitted herewith are:

[X] 20 sheets of:

[ ] Formal drawings.

[X] Informal drawings. Formal drawings will be filed  
during the pendency of this application.

[ ] Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
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(country)	(appln. no.)	(filed)
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(country)	(appln. no.)	(filed)
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from which priority is claimed.

[ ] An assignment of the invention to \_\_\_\_\_

[ ] A check in the amount of \$40.00 to cover the recording fee.

[ ] Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.


[ ] An associate power of attorney.

[X] Small Entity Status is requested hereby.

The filing fee has been calculated as shown below:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
BASIC FEE				\$370.00
TOTAL CLAIMS	20	- 20 = 0	X \$ 9 =	\$ 0.00
INDEPENDENT CLAIMS	4	- 3 = 1	X \$42 =	\$ 42.00
[ ] MULTIPLE DEPENDENT CLAIMS			+ \$140 =	\$
TOTAL				<u>\$412.00</u>

- [X] A check in the amount of \$ 412.00 in payment of the filing fee is transmitted herewith.
- [ ] This application is being filed unaccompanied by a filing fee. The appropriate filing fee will be paid in response to a Notice to File Missing Parts, pursuant to 37 C.F.R. § 1.53(f).
- [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- [ ] Amend the specification by inserting before the first line the sentence: -- This is a [ ] continuation-in-part, of application No.: \_\_\_\_\_, filed \_\_\_\_\_  
entitled \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.
- [ ] Please charge \$ \_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

  
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